

#5

EXPRESS MAILING LABEL NO. EL685694240US
DATE OF MAILING: 27 JUNE 2001

1c978 U.S. PTO
09/893301
06/27/01

Attorney Docket No. INXT 1017-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
MARK DAVIS et al.)	Art Unit: <i>Unknown</i>
)	
Application No. <i>Unassigned</i>)	
)	Examiner: <i>Unassigned</i>
Filed: 27 June 2001 (Herewith))	
)	
Title: Method and Apparatus for Incremental)	
Computation of the Accuracy of A)	
Categorization-By-Example System)	
)	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner of Patents
Washington, D.C. 20231

Sir:

Listed on attached Form PTO-1449 is information known to applicant. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicant respectfully requests that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial the enclosed form PTO-1449 return it in accordance with MPEP §609.

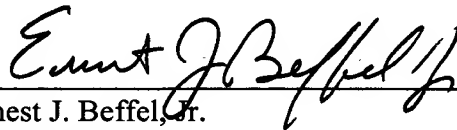
This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

X This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- | | | |
|-----------|-----|--|
| <u>X</u> | (1) | It is being filed within 3 months of the application filing date |
| | | -- OR -- |
| <u> </u> | (2) | It is being filed within 3 months of entry of a national stage |
| | | -- OR -- |

- (3) It is being filed before the mail date of the first Office Action on the merits.
- 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- a certification as specified in §1.97(e) is provided below; **or**
- a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; **and**
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$ -0- and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869 (Docket No. INXT 1017-1). A duplicate copy of this authorization is enclosed.


Respectfully submitted,



Ernest J. Beffel, Jr.
Registration No. 43,489

Date: 27 June 2001

HAYNES & BEFFEL LLP
P.O. Box 366
Half Moon Bay, CA 94019
(650) 712-0340 (phone)
(650) 712-0263 (fax)

INFORMATION DISCLOSURE CITATION PTO-1449		ATTY. DOCKET NO. INXT 1017-1		SERIAL NO.			
		APPLICANT: MARK DAVIS et al.					
		FILING DATE: 27 JUNE 2001		GROUP:			
<div style="text-align: right;"> jc978 U.S. PTO 09/893301  06/27/01 </div>							
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
Daniel P. Lopresti <i>A Comparison of Text-Based Methods for Detecting Duplication in Document Image Databases</i> Document Recognition and Retrieval VII (IS&T/SPIE Electronic Imaging 2000), January 2000, San Jose, CA							
Narayanan Shivakumar and Hector Garcia-Molina <i>The SCAM Approach to Copy Detection in Digital Libraries</i> Department of Computer Science Stanford University Stanford, CA 94305 USA							
William B. Frakes and Ricardo Baeza-Yates <i>Information Retrieval Data Structures & Algorithms</i> pp. 19-71							
Ian H. Witten et al. <i>Managing Gigabytes Compressing and Indexing Documents and Images</i> pp. 181-188							
Fazli Can et al. <i>A Dynamic Cluster Maintenance System for Information Retrieval</i> SIGIR 1987 pp. 123-131							
Fazli Can et al. <i>Concepts of the Cover Coefficient-Based Clustering Methodology</i> SIGIR 1985 pp. 204-211							
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.